

Exhibit 1

Sheldon Eisenberger

From: NYSD_ECF_Pool@nysd.uscourts.gov
Sent: Tuesday, November 09, 2010 12:14 AM
To: CourtMail@nysd.uscourts.gov
Subject: Activity in Case 1:10-cv-05893-BSJ -RLE Fidelity Brokerage Services LLC v. Caro et al Response to Motion

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U.S. District Court

Southern District of New York

Notice of Electronic Filing

The following transaction was entered by Rothfarb, Evan on 11/9/2010 at 0:14 AM EST and filed on 11/9/2010

Case Name: Fidelity Brokerage Services LLC v. Caro et al
Case Number: 1:10-cv-05893-BSJ -RLE
Filer: Bill Rothfarb
Document Number: 32

Docket Text:

RESPONSE to Motion re: [24] MOTION to Dismiss *Complaint*.. Document filed by Bill Rothfarb. (Attachments: # (1) Exhibit NYS Judgment, # (2) Exhibit NYS Decision After Trial, # (3) Exhibit Zeisler Letter, # (4) Exhibit 11-25-08 Deposition, # (5) Exhibit 1-16-09 Deposition, # (6) Exhibit 1-30-10 Probate Hearing Transcript, # (7) Exhibit NYS Caro Affirmation in Opposition to OSC, # (8) Exhibit 9-10-10 Letter to Judge Jones, # (9) Exhibit Caro Financial Disclosure)(Rothfarb, Evan)

1:10-cv-05893-BSJ -RLE Notice has been electronically mailed to:

Evan Stone Rothfarb evan.rothfarb@rothfarblaw.com

Michael Davis Hoenig michael.hoenig@thompsonhine.com

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Michael Peter Kaelin mkaelin@cl-law.com, dsantos@cl-law.com

Sheldon Eisenberger sheldon@eisenbergerlaw.com, jacobz@eisenbergerlaw.com,

11/15/2010

lchabus@eisenbergerlaw.com

1:10-cv-05893-BSJ -RLE Notice has been delivered by other means to:

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Document description:Main Document

Original filename:n/a

Electronic document Stamp:

[STAMP dcecfStamp_ID=1008691343 [Date=11/9/2010] [FileNumber=7898501-0]
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Document description:Exhibit NYS Judgment

Original filename:n/a

Electronic document Stamp:

[STAMP dcecfStamp_ID=1008691343 [Date=11/9/2010] [FileNumber=7898501-1]
] [0b16f37e3d87aae14325a3a64d29abf802e7360d2667d0a2addb0b7adf8d7ab42fe
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Document description:Exhibit NYS Decision After Trial

Original filename:n/a

Electronic document Stamp:

[STAMP dcecfStamp_ID=1008691343 [Date=11/9/2010] [FileNumber=7898501-2]
] [788f13e665db37f2f8a723c4e1fc1e75e893665c4111361b5c6381cfce06c297f51
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Document description:Exhibit Zeisler Letter

Original filename:n/a

Electronic document Stamp:

[STAMP dcecfStamp_ID=1008691343 [Date=11/9/2010] [FileNumber=7898501-3]
] [3275657004a578e633524b7d50466328c1d9845d366faaf2898af44a3047fda6b8f
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Document description:Exhibit 11-25-08 Deposition

Original filename:n/a

Electronic document Stamp:

[STAMP dcecfStamp_ID=1008691343 [Date=11/9/2010] [FileNumber=7898501-4]
] [677b16e24917fb514dc2c7d1e6a725a699b2049c8150a746ab5c3edfdc6daac68d4
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Document description:Exhibit 1-16-09 Deposition

Original filename:n/a

Electronic document Stamp:

[STAMP dcecfStamp_ID=1008691343 [Date=11/9/2010] [FileNumber=7898501-5]
] [11c7c40afccba36693562833bfd4eab7c753cd6570b3a67d9c472d303ab119ecdfe
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Document description:Exhibit 1-30-10 Probate Hearing Transcript

Original filename:n/a

Electronic document Stamp:

[STAMP dcecfStamp_ID=1008691343 [Date=11/9/2010] [FileNumber=7898501-6]
] [a7820e3bf86e0ae09ac17ee3e4f1abd5de319d69b6b9e330ebe01a6067001097bc8
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Document description:Exhibit NYS Caro Affirmation in Opposition to OSC

Original filename:n/a

Electronic document Stamp:

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[STAMP dcecfStamp_ID=1008691343 [Date=11/9/2010] [FileNumber=7898501-7]
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Document description:Exhibit 9-10-10 Letter to Judge Jones

Original filename:n/a

Electronic document Stamp:

[STAMP dcecfStamp_ID=1008691343 [Date=11/9/2010] [FileNumber=7898501-8]
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Document description:Exhibit Caro Financial Disclosure

Original filename:n/a

Electronic document Stamp:

[STAMP dcecfStamp_ID=1008691343 [Date=11/9/2010] [FileNumber=7898501-9]
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Sheldon Eisenberger

From: NYSD_ECF_Pool@nysd.uscourts.gov
Sent: Tuesday, November 09, 2010 12:26 AM
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Southern District of New York

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Case Number: 1:10-cv-05893-BSJ -RLE
Filer: Bill Rothfarb
Document Number: 33

Docket Text:

RESPONSE to Motion re: [24] MOTION to Dismiss *Complaint*. *Certificate of Service*. Document filed by Bill Rothfarb. (Rothfarb, Evan)

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lchabus@eisenbergerlaw.com

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[STAMP dcecfStamp_ID=1008691343 [Date=11/9/2010] [FileNumber=7898504-0
] [2b92c0520b2e2f98211cabf3fa9e5b0f5fd6a10d5841831470ddaeb487eac0a39f8
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